



Pre-Filing Meeting Request for Clean Water Act Section 401 Water Quality Certification

WA State Department of Ecology
Phone: (360) 407-6076 or E-mail: ecyrefedpermits@ecy.wa.gov

AGENCY USE ONLY

Date Received:
Aquatics ID#:
County:
Team:

Why is this Pre-Filing Meeting Request Required?

The U.S. Environmental Protection Agency (EPA) published its Clean Water Act Section 401 Certification Rule in the Federal Register on July 13, 2020.¹ It took effect on September 11, 2020. The federal rule requires that all project proponents submit a *pre-filing meeting request* to the certifying authority at least 30 days prior to submitting a Section 401 Water Quality Certification request (certification request). The Department of Ecology (Ecology) has prepared this pre-filing meeting request form to help project proponents comply with the federal rule requirements.

Next Steps:

Ecology will review your request for a pre-filing meeting to determine whether it is necessary or appropriate for a specific project. Completing this form will help with Ecology's determination.

Please submit this request form and a project location map to Ecology's Federal Permit Unit at: ecyrefedpermits@ecy.wa.gov. Include in the subject line the following: "Pre-filing Meeting Request - CWA Section 401 Certification – [project title]."

If Ecology determines that a pre-filing meeting is needed, staff will schedule a meeting with the applicant. The meeting may be held in person or remotely. Please be prepared to discuss the project and potential water quality impacts.

If you do not receive a response to your request for a pre-filing meeting and at least 30 days have passed since the date of receipt, you may submit the Section 401 certification request to Ecology if it is required for your project.

For more information:

EPA's 401 rule: [Final Rule: Clean Water Act Section 401 Certification Rule](#)

I. Identify the applicable federal license or permit:

Permit/License Number (If known):

Federal Agency: U.S. Army Corps of Engineers (Corps) U.S. Coast Guard
 Federal Energy Regulatory Commission EPA
 Other:

Have you obtained a jurisdictional determination from the Corps or EPA? Yes No

If yes, please attach the jurisdictional determination in PDF format.

II. Project Information:

Project Name:

Project Location (Note: Please attach a project location map when submitting this form.)

Address:

City: _____ County: _____

Land ownership (check all that apply): Private State Federal Tribal

Waterbody (including wetlands) that the project is in or adjacent to:

Types of material being discharged and the amount of each type:

Total fill area (square feet):

Total excavation area
(Square Feet):

Total stream impacts (linear feet):

¹ 40 CFR Part 121

Brief Project Description and Scope (please include a description of mitigation proposed for any impacts):

Wetlands: Yes No If yes, select the Wetland Category: I II III IV

Habitat Score:

Eelgrass Present: Yes No If known, select the type: Native Non-Native

In or adjacent to contaminated soil or sediment, if known: Yes No

What is your anticipated timeline for construction and operation?

III. Agency contacts:

If you have already worked with any government agencies including Ecology on this project, list them here:

Agency Name	Contact Name	Phone # / E-mail
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

IV. Other:

Do you have any specific questions/comments for Ecology?

Provide a couple of dates you are available for a meeting, if it is determined one is needed:

Date: _____ Time: _____

Date: _____ Time: _____

V. Project Proponent Information:

Name (First/Last Name): _____

Organization: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Phone #: _____ E-Mail: _____

VI. Certification Statement:

I certify that I have read and understand the following statements per the Clean Water Act Section 401 Certification Rule:

- Submittal of this form completes the requirement of the Pre-Filing Meeting Request.
- I cannot submit my application until at least 30 calendar days after submitting this pre-filing meeting request.
- Ecology is not required to respond to or grant the meeting request.

Project Proponent Name

Date

To request an ADA accommodation, contact Ecology by phone at (360) 407-6076 or email at ecyrefedpermits@ecy.wa.gov, or visit [Accessibility & the Americans with Disabilities Act \(ADA\)](#).

For Relay Service or TTY call 711 or 877-833-6341.