*The Environmental Protection Agency requires Ecology to conduct a Financial Capability Assessment (FCA) of all applicants offered a Clean Water State Revolving Fund (CWSRF) loan. The FCA assesses the ability of the applicant to raise and maintain sufficient funds to repay the CWSRF loan and operate and maintain the project. The FCA must be completed even if an FCA of the applicant was previously conducted. The FCA must be completed before a CWSRF loan agreement can be signed.*

*Please complete the checklist below and provide supporting documents, as applicable. If you have any questions call or email Daniel Thompson at: 360-407-6510 or* *daniel.thompson@ecy.wa.gov**. Submit via email to* *daniel.thompson@ecy.wa.gov* *or via mail to:*

**Daniel Thompson**

Department of Ecology

Water Quality Program - Financial Management Section

PO Box 47600

Olympia WA 98504-7600

**--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------**

**Applicant Name:**

**Applicant Financial Contact Name; Title; Email; Phone Number:**

**Application Number(s):**      . *(If the checklist covers multiple loan offers list all project titles covered.)*

**Project Title(s):**      . *(If the checklist covers multiple loan offers list all project titles covered.)*

**Do you have a legally dedicated fund to pay for the project?** [ ]  Yes [ ]  No

* If “Yes”, list the name of the fund and describe the fund type:      .
* If “No”, describe how you will raise and maintain sufficient funds to repay the CWSRF loan and operate and maintain the project:      .

**What accounting method do you use?** [ ]  Accrual basis [ ]  Cash basis

**What are the annual costs for your facility/system, including existing debt service? $**      [ ]  Not Applicable

**What percentage of the fees for your facility/system are paid by commercial customers?**      **%** [ ]  Not Applicable

**What is the base monthly fee paid by residential customers for your facility/system? $**      [ ]  Not Applicable

**What is the current number of residential customers for your facility/system?**       [ ]  Not Applicable

**Provide the following supporting documents, as applicable. You can provide the documents either via a web link, email, hardcopy, or a combination.**

[ ]  State Auditor’s Office (or independent auditor) financial and accounting audit reports for the past 3 calendar years.

* [ ]  Documents located on the following webpage(s):      .
* [ ]  Documents provided via email.
* [ ]  Documents provided in hardcopy.

[ ]  Financial Statements for past 3 calendar years for the fund that will be used to repay the CWSRF loan and operate and maintain the project. *(You may include financial statements that have not yet been audited.)*

* [ ]  Documents included in the audit reports cited above.
* [ ]  Documents located on the following webpage(s):      .
* [ ]  Documents provided via email.
* [ ]  Documents provided in hardcopy.

**Provide any additional comments or information you have that may impact Ecology’s FCA.**      **.**